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Julie Agozino



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
Alessandro Martini, et al.

Serial No.: 10/542,253

Confirmation No.: 5093

Filed: July 14, 2005

For: SEMI-SOLID FORMULATIONS FOR
IMMEDIATE RELEASE INTENDED FOR THE
ORAL ADMINISTRATION OF DRUGS

Group Art Unit: 1615

Examiner: Not Yet Assigned

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of (1) a Final Action under § 1.113; (2) a Notice of Allowance under § 1.311; or (3) an action that otherwise closes prosecution in the application. The Commissioner is hereby authorized to charge the fee as set forth in § 1.17(p) to Deposit Account Number 500329.

- ☐ Applicant requests that the Examiner consider the following copending applications:

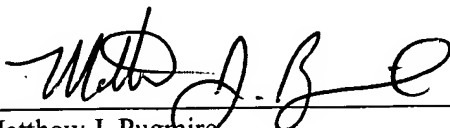
Application Serial No.	Filing Date

- ☐ Copies of these copending applications are enclosed.
- ☒ Applicant hereby requests consideration of the Information Disclosure Statement, PTO/SB/08a, submitted herewith. Copies of the cited references, except as noted below, are enclosed.
- ☐ This application is a continuation, divisional or continuation-in-part of Serial No. [REDACTED]. Copies of the cited references, if not enclosed, are available in the file of the parent application or parents thereof.
- ☒ Copies of U.S. Patents and U.S. Patent Application Publications are not enclosed. (waiver of 37 CFR 1.98(a)(2)(iii) pursuant to 37 CFR 1.183).
- ☐ Applicant hereby requests consideration of the enclosed International Search Report, which was received in a related international patent application.

The Commissioner is hereby authorized to charge any fee deficiency, including any fee required under 37 C.F.R. § 1.17(p), or credit any overpayment, to Deposit Account Number 500329. A duplicate copy of this form is enclosed.

Respectfully submitted,

Date: 9/27/05


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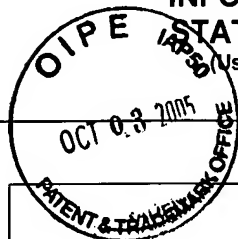
Substitute for form 1449/PTO

Complete if Known

Application Number	10/542,253
Filing Date	07-14-2005
First Named Inventor	A. Martini
Art Unit	1615
Examiner Name	TBA
Attorney Docket Number	PC27894A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	Cite No. ¹	DOCUMENT NUMBER	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ²			
	AA	5,792,783	08-11-1998	Tang, P., et al.	
	AB	5,880,141	03-09-1999	Tang, P., et al.	
	AC	5,993,858	11-30-1999	Crison, J., et al.	
	AD	2002/0119198	08-29-2002	Gao, P., et al.	
	AE	6,514,524 B1	02-04-2003	Saslowski, O., et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	AF	WO 99/61422	12-02-1999	Sugen, Inc.		
	AG	WO 98/38984	09-11-1998	Sugen, Inc.		
	AH	WO 01/30351	05-03-2001	Pharmacia & Upjohn S.P.A.		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/542,253
Filing Date	07-14-2005
First Named Inventor	A. Martini
Art Unit	1615
Examiner Name	TBA
Attorney Docket Number	PC27894A

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AI	ABDUL-FATTAH, A., et al., "Preparation And In Vitro Evaluation Of Solid dispersions Of Halofantrine," <i>International Journal Of Pharmaceutics</i> , 2002, 17-33, vol. 235.	
	AJ	AMMOURY, N., et al., "In Vitro Release Kinetic Pattern Of Indomethacin From Poly(D,L-Lactide) Nanocapsules," <i>Journal Of Pharmaceutical Sciences</i> , 1990, 763-767 vol. 79, no. 9.	
	AK	CHIOU, W., et al., "Pharmaceutical Applications Of solid Dispersion Systems," <i>Journal Of Pharmaceutical Sciences</i> , 1971, 1281-1302, vol. 60, no. 9.	
	AL	DORDUNOO, S., et al., "Preformulation Studies On Solid Dispersions Containing Triamterene Or Temazepam In Polyethylene Glycols Or Gelucire 44/14 For Liquid Filling Of Hard Gelatin Capsules," <i>Drug Development And Industrial Pharmacy</i> , 1991, 1685-1713, vol. 17, no. 12.	
	AM	KHOO, et al., "The Formulation Of Halofantrine As Either Non-Solubilising PEG 6000 Or Solubilising Lipid Based Solid Dispersions: Physical Stability And Absolute Bioavailability Assessment," <i>International Journal Of Pharmaceutics</i> , 2000, 65-78, vol. 205.	
	AN	SAN VICENTE, A., et al., "Influence Of Ageing On The Release Of Salbutamol Sulphate From Capsules Elaborated With Gelucire 35/10, 48/09 And 46/07," <i>Proceedings of the 2nd World Meeting APGI/APV</i> , Paris 1998, 261-262,	
	AO	SERAJUDDIN, A., et al., "Solid Dispersion Of Poorly Water-Soluble Drugs: Early Promises Subsequent Problems, And Recent Breakthroughs," <i>Journal Of Pharmaceutical Sciences</i> , 1999, 1058-1066, vol. 88, no. 10	
	AP	SHEEN, P., et al., "Formulation Studies Of A Poorly Water-Soluble Drug In Solid Dispersions To Improve Bioavailability," <i>International Journal Of Pharmaceutics</i> , 1995, 221-227, vol. 118.	
	AQ	SUTANANTA, W., et al., The Effects Of Ageing On The Thermal Behaviour And Mechanical Properties Of Pharmaceutical Glycerides," <i>International Journal Of Pharmaceutics</i> , 1994, 51-62, vol. 111.	

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